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Receipt  
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PATENT  
450100-03185

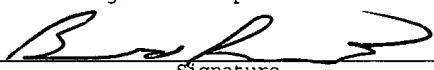
THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Ryuichiro HISAMATSU et al.  
Serial No. : 09/832,229  
Filed : April 10, 2001  
For : DATA TRANSMISSION DEVICE, DATA RECEIVING DEVICE, DATA TRANSMITTING METHOD, DATA RECEIVING METHOD, RECORDING DEVICE, PLAYBACK DEVICE, RECORDING METHOD, AND PLAYBACK METHOD  
Art Unit : 2661

745 Fifth Avenue  
New York, New York 10151  
Tel. (212) 588-0800

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:  
Assistant Commissioner for Patents  
Washington, D.C. 20231, on August 3, 2001

Bruno Polito, Reg. No. 38,580  
Name of Applicant, Assignee or  
Registered Representative

  
Signature

August 3, 2001  
Date of Signature

REQUEST FOR CORRECTED FILING RECEIPT

Application Processing Division  
Customer Correction Branch  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a Request to correct the Filing Receipt issued in the above-identified application.

Under Applicant(s):

Please insert the fourth, fifth and sixth applicants names and residences respectively:

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--KATSUTOSHI SAKAO, KANAGAWA, JAPAN--;  
--YASUHISA NAKAJIMA, KANAGAWA, JAPAN--;  
--HIROSHIGE OKAMOTO, KANAGAWA, JAPAN--.

Under Foreign Applications:

Please correct the foreign filing date of foreign application number 2000-343104 from "10/10/2000" to --11/10/2000--.

The foregoing changes are needed to correct inadvertent errors, as evidenced by the Declaration submitted with the patent application. A copy of the Filing Receipt with the requested correction(s) noted thereon in red ink is also enclosed.

The issuance of a corrected Filing Receipt is respectfully requested.

Please charge any fees required for this correction or credit any overpayment to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Bruno Polito  
Reg. No. 38,530  
Tel. (212) 588-0800

Enclosure



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
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09/832,229 04/10/2001 2661 450100-03185 18 69 13



FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NY 10151

CONFIRMATION NO. 9926  
FILING RECEIPT



\*OC00000006113092\*

Date Mailed: 05/24/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Ryuichiro Hisamatsu, Kanagawa, JAPAN;  
Takamichi Mitsuhashi, Tokyo, JAPAN;

Tadashi Saito, Chiba, JAPAN;

KATSUTOSHI SAKAO, KANAGAWA, JAPAN

YASUHISA NAKAJIMA, KANAGAWA, JAPAN

Domestic Priority data as claimed by applicant HIROSHIGE OKAMOTO, KANAGAWA, JAPAN

Foreign Applications

JAPAN 2000-109965 04/11/2000

JAPAN 2000-109963 04/11/2000

JAPAN 2000-109962 04/11/2000

JAPAN 2000-343104 04/10/2000

11/10/2000

If Required, Foreign Filing License Granted 05/24/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

DOCKETED

05/24/2001  
O'FERRALL, LAWRENCE & HAUG, LLP  
11/10/2000

Data transmission device, data receiving device, data transmitting method, data receiving method, recording device, playback device, recording method, and playback method

**Preliminary Class**

370

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**Data entry by : ROBINSON, FELICIA**

**Team : OIPE**

**Date: 05/24/2001**





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/832,229	04/10/2001	Ryuichiro Hisamatsu	450100-03185



FROMMER LAWRENCE & HAUG LLP  
745 FIFTH AVENUE  
NEW YORK, NY 10151

CONFIRMATION NO. 9926  
FORMALITIES LETTER



\*OC000000006113093\*

Date Mailed: 05/24/2001

**NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION**

**FILED UNDER 37 CFR 1.53(b)**

***Filing Date Granted***

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 130.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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Bruno Polito, Reg. No. 38,580  
Name of Applicant, Assignee or  
Registered Representative



Signature

August 3, 2001  
Date of Signature

COMMUNICATION

Assistant Commissioner for Patents  
Attn: Box Missing Parts  
Washington, D.C. 20231

Sir:

Enclosed herewith is a copy of the "Notice to File Missing Parts of Application" (form PTO-1533) dated May 24, 2001 on the above application, a Declaration duly signed by the inventors, and a check in the amount of the required \$130.00 surcharge plus \$110.00 for an extension of time. By separate

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cover, we are filing the Assignment and the \$40.00 fee for recording same.

This response is being filed within the first month following the expiration of the term originally set therefor. This is a petition to request a one-month extension of time. A check covering the cost of the petition is enclosed. Please charge any additional fees incurred or credit any overpayment to Deposit Account No. 50-0320.

In view of the foregoing, it is believed that the identified application is now complete. Early examination of the application on its merits is solicited.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP  
Attorneys for Applicants

By: 

Bruno Polito  
Reg. No. 38,580  
Tel. (212) 588-0800

Enclosure